國立雲林科技大學財務金融研究所

博士班研究生資格考試申請表

姓　名		學　號	

相關資格審核			備註
專業必修科目	科目名稱	成績	
	總體經濟理論		
	個體經濟理論		
	計量經濟學（一）		
	財務理論		

申請考試科目：

請勾選	科目名稱
☐	**財務理論**
☐	**經濟理論**
☐	**計量經濟學**

備註：財務金融研究所博士班研究生修業要點(摘要)

第　七　條 本所學生依規定修畢個體經濟理論、總體經濟理論、基礎財務理論與計量經濟學(一)等專業必修課程後，得申請參加各科資格考試。

第　八　條 資格考試分為必考科目：基礎財務理論；選考科目：計量經濟學與經濟理論(含個體經濟理論與總體經濟理論)，任選一科。

第　九　條 資格考試由系主任協調相關教師負責命題及評分，並以筆試方式為原則。

第　十　條 資格考試每學年舉辦一次，未通過者得申請重考。必考科目與選考科目重考均以一次為限，重考不及格者予以退學。

第 十一 條 資格考試應於入學第九學期內（休學期間不計)考完資格考必考暨選考科目，並通過資格考試，未完成者予以退學。如有特殊情況，得以延長修業期限，交由系務會議決議。

第 十二 條 學生亦可使用雲林科技大學財務金融系博士生之名義在國外相關學術期刊(具審查制度)、TSSCI 學術期刊發表論文(須為第一作者或通訊作者)可抵免資格考中的選考科目。每一篇期刊論文僅可抵免一科資格考中的選考科目。任一篇期刊論文僅得由一位學生用以完成本系博士班修業規定，其他共同著作人須出具同意書放棄同一著作，作為申請本系博士班修業規定之權利。(上列期刊排除研發處「不鼓勵期刊或出版社」名單)

學生簽名：

日期：　　年　　月　　日

審查人：

所　長：

NATIONAL YUNLIN UNIVERSITY OF SCIENCE AND TECHNOLOGY

Department of Finance / Graduate Institute of Finance

Ph.D. Program Doctoral Qualifying Examination Application Form

<table>
<tr><td>Student Name</td><td></td><td>Student ID</td><td colspan="2"></td></tr>
<tr><td colspan="3">Relevant Qualification Review</td><td>Remark</td></tr>
<tr><td rowspan="5">Required Courses:</td><td>Course</td><td colspan="2">Grade</td><td rowspan="5"></td></tr>
<tr><td>Macroeconomic Theory</td><td colspan="2"></td></tr>
<tr><td>Microeconomic Theory</td><td colspan="2"></td></tr>
<tr><td>Econometrics(Ⅰ)</td><td colspan="2"></td></tr>
<tr><td>Financial Theory</td><td colspan="2"></td></tr>
<tr><td colspan="5">Apply for Exam:</td></tr>
<tr><td>Check here</td><td colspan="4"></td></tr>
<tr><td>☐</td><td colspan="4">Financial Theory</td></tr>
<tr><td>☐</td><td colspan="4">Economic Theory</td></tr>
<tr><td>☐</td><td colspan="4">Econometrics</td></tr>
<tr><td colspan="5">Auditor：</td></tr>
<tr><td colspan="5">Director signature：</td></tr>
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Note: Summary of the Regulations Governing Ph.D. Students in the Graduate Institute of Finance

- **Article 7**

  Upon completing the required courses (Microeconomic Theory, Macroeconomic Theory, Foundational Financial Theory, and Econometrics (I)) in accordance with the regulations, students of this Institute may apply to take the compulsory and elective components of the qualifying examination.

- **Article 8**

  The qualifying examination consists of a compulsory component and an elective component:

  (1) Compulsory: Foundational Financial Theory

  (2) Elective (Choose one):

  - Econometrics
  - Economic Theory *(comprising Microeconomic Theory and Macroeconomic Theory)*

- **Article 9**
  The qualification examination shall be prepared and graded by relevant faculty members coordinated by the Department Chair, and shall, in principle, be conducted in the form of a written examination.
- **Article 10**
  The qualification examination is held once per academic year.
  Maximum Attempts and Dismissal Policy:
    - Attempt Limits: For each component (compulsory and elective), a student is entitled to a maximum of two attempts in total: one initial attempt and one retake.
    - Changing Electives: A student may choose a different elective option for their retake. However, changing the elective option does not create an additional examination opportunity. No third attempt is permitted under any circumstances.
    - Dismissal: Students who fail the retake of either the compulsory component or the elective component shall be dismissed from the university.
- **Article 11**
  The compulsory and elective components of the qualifying examination shall be taken and passed within the first nine semesters of enrollment (excluding periods of suspension). A student who fails to meet this requirement shall be dismissed from the Ph.D. program. In special circumstances, this time limit may be extended upon approval by the Department General Meeting.
- **Article 12**
  Students may waive the elective component of the qualifying examination by publishing a paper (must be the first author or corresponding author) in a relevant peer-reviewed international academic journal or TSSCI journal under their affiliation as a doctoral student in the Department of Finance at NYUST. Each journal article may be used to waive the elective component of the qualifying examination only once. A journal article may be used by only one student to fulfill the doctoral program requirements of the Department. All other co-authors must submit written consent waiving their right to use the same article to fulfill the doctoral program requirements of the Department. Journals included on the Office of Research and Development's list of “Discouraged Journals or Publishers” are excluded.
  An application for journal-publication substitution must be submitted and approved before the student sits for the retake of the elective qualifying examination. Once the student has taken the retake and failed, no subsequent application for substitution under this Article may be made.
- **Article 13**
  Papers used by students to waive the elective component of the qualification examination shall not be used to apply for the degree examination.

Student Signature：_____

Date： Year _____ / Month _____ / Day _____