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Academic Regulations for Master's Students of the Graduate Institute of Finance, College of Management National Yunlin University of Science and Technology

(Applicable to Students Entering in the 2026 Academic Year)

"This English version is translated by AI for reference only. In the event of any discrepancy between the Chinese original and the English translation, the Chinese version shall prevail."

Chapter I: Admission Procedures

Article 1 (Admission Qualifications)
Graduates from public or registered private universities, independent colleges, or foreign universities recognized by the Ministry of Education who hold a bachelor's degree or possess an equivalent level of educational attainment eligible for application, and who are admitted through the recommendation and admission screening or the entrance examination of the Master's Program of the University, may enroll in the Master's Program of this Institute. For the Executive Master's Program (In-Service Program) of this Institute, applicants must hold a bachelor's degree or possess an equivalent educational qualification, have relevant work experience calculated from the date of obtaining the bachelor's degree or equivalent qualification, and be admitted through the entrance examination of the University.

Article 2 (Recommendation Screening and Entrance Examination)
1. Recommendation Screening:
The Master's Admissions Committee of the University shall evaluate performance based on the following items and select the best candidates for admission:
(1) Document Review.
(2) Interview.

2. Entrance Examination:
The Master's Admissions Committee of the University shall evaluate performance based on the following selected items and select the best candidates for admission:
(1) Written Examination.
(2) Document Review.
(3) Interview.

Article 3 (Admission Quota)
The total number of students admitted each year to the Master's Program of this Institute through recommendation screening and entrance examinations shall be based on the quota approved by the Ministry of Education. The same applies to the Executive Master's Program.

Chapter II: Duration of Study and Graduation Credits

Article 4 (Duration of Study)
The duration of study for the Master's Program shall be at least two years and may be extended by a maximum of two years. However, the duration of study may not be shortened for the purpose of applying for early graduation (except for students under the Pre-graduate Student Program). However, for students in the Executive Master's Program who have waived 9 credits or more (inclusive) at the time of admission, they may apply to shorten their duration of study and graduate one semester early. For those who re-enroll in the same degree system and have previously obtained 27 credits or more at the University, they may apply to shorten their duration of study and graduate one academic year early. Executive Master's students who fail to complete the required courses or the master's thesis within the stipulated timeframe may have their duration of study extended by a maximum of one year due to special needs upon approval.

Article 5 (Graduation Credits)
The minimum number of credits required for graduation for regular students of this Institute shall be 36 coursework credits plus 6 master's thesis credits, totaling 42 credits.

The minimum number of credits required for graduation for students in the Executive Master's Program shall be 33 coursework credits from the Executive Master's curriculum plus 6 master's thesis credits, totaling 39 credits.

Chapter III: Coursework

Article 6 (Coursework)

1. (1) Regular students of this Institute may apply for credit waivers after the start of each semester according to the dates specified in the academic calendar. Waiverable subjects are: master's-level courses with the same course title taken at a university or independent college, or courses whose content is verified to be identical to those offered by this Institute despite having a different course title, subject to review and approval by this Institute. For master's courses previously completed at another university or in another department of this University with a grade of 70 or above, and which were not counted toward the graduation credits of the undergraduate degree, the maximum number of waiverable credits shall be limited to 6 credits (except for Pre-graduate students and students admitted through recommendation screening of this University).

(2) Students in the Executive Master's Program may apply for credit waivers after the start of each semester according to the dates specified in the academic calendar. Waiverable subjects are: master's-level courses taken at a university or independent college with a grade of 70 or above. The total number of credits waived from other departments of this University and other universities shall be limited to a maximum of 6 credits. The total number of applied waiver credits shall not exceed one-half of the minimum number of graduation credits (excluding the graduation thesis).

2. Upon enrollment, a master's program mentor shall serve as the student's "Academic Advisor" to guide the student's course selection. The Academic Advisor shall guide the student's course selection until the student's "Thesis Advisor" is officially determined, at which point the Thesis Advisor shall take over the guidance of the student's course selection.

3. With the approval of the Institute Director, master's students of this Institute may take courses relevant to their thesis from other departments/institutes during their period of study, up to a maximum of two courses.

4. Regular students of this Institute are not permitted to take courses offered exclusively for the Executive Master's Program, unless the course is jointly offered for both programs. However, students who fail the same course twice are thereafter permitted to take the corresponding course offered by the Executive Master's Program of this Department.

5. Regular students of this Institute may take no more than 16 credits per semester (these 16 credits exclude waived credits, Specialized English Reading, and thesis credits).

6. Students in the Executive Master's Program may take no more than 12 credits per semester (these 12

credits exclude waived credits, thesis credits, and courses not counted toward the graduation credits of the Executive Master's Program of this Institute).

Chapter IV: Thesis Supervision, Degree Examination, and Grading

Article 7 (Application for Thesis Advisor)

1. The application procedure is as follows:
Students may apply for a thesis advisor starting from the date of enrollment registration and classes. Regular students must submit the Statement of Thesis Advisor Consent to this Department by November 30. Executive Master's students must submit the statement between March 1 and April 15. The student shall complete the registration procedure at the Institute Office within the specified period. Eligible advisors are full-time faculty members at the rank of assistant professor or above in this Department/Institute (full-time faculty members from other departments of this University who teach in this Institute and part-time faculty members from other universities who teach in this Institute are not restricted by this regulation).

2. The selection of a thesis advisor must be based on the faculty member's expertise, and the application and assignment of the advisor shall have no relevance to the individual's teaching or research load. Full-time faculty members at the rank of assistant professor or above from other departments of this University who teach in this Institute may advise a maximum of one student.

3. For faculty members who are not full-time assistant professors or above in this Department, the number of students they advise shall, in principle, not exceed the average number of students advised by the full-time faculty members of this Department, and they must co-advise students alongside a full-time faculty member of this Department/Institute.

Article 8 (Termination of Thesis Supervision)

Under any of the following circumstances, a thesis advisor may, with the consent of the Institute Director, terminate thesis supervision:
1. The graduate student fails to follow the advisor's instructions regarding course selection or thesis writing.
2. The graduate student continuously fails to maintain contact with the advisor for a considerable period without a justifiable reason.
3. The graduate student holds full-time employment inside or outside the University without obtaining prior consent from the advisor.

Article 9 (Change of Thesis Advisor)

Under any of the following circumstances, a graduate student may request to change their thesis advisor upon the consent of both the current thesis advisor and the Institute Director:
1. The advisor goes abroad, takes a leave of absence, pursues advanced studies, or encounters other incidents that prevent them from completing thesis supervision within the student's duration of study.
2. Other situations where a change of thesis advisor is genuinely necessary due to justifiable reasons.

Article 10 (Application for Degree Examination)

Students of this Institute who have completed the submission and presentation of their thesis research proposal, have studied for at least the second semester of their second academic year (except for Pre-

graduate students), have completed all required courses and credits (including those of the current semester), and have completed the first draft of their thesis, may apply for the Master's Degree Examination (Thesis Oral Defense). The application for the Master's Degree Examination shall be handled in accordance with the following regulations:

1. Apply within the timeframe specified in the University's academic calendar.
2. Upon application, the following documents must be fully compiled and submitted:
   (1) Degree Examination Application Form.
   (2) Degree Examination Application Review Form.
   (3) One copy of the first draft of the thesis.
3. Upon approval by the thesis advisor and the Institute Director, the application shall be reported to the University for record-keeping prior to the degree examination.
4. Students shall strictly follow the standard format specifications for general master's theses when writing their thesis.

Article 11 (Degree Examination Committee)

The Master's Degree Examination shall be organized and conducted by a Degree Examination Committee. The committee shall be formed in accordance with the following regulations:

1. The examination committee shall consist of 3 to 5 professors or experts, either from within or outside the University, whose expertise is related to the research thesis proposed by the master's degree candidate, recommended by the thesis advisor, agreed upon by the Institute Director, and appointed after reporting to the University President for approval. External committee members from outside the University must account for at least one-third (inclusive) of the committee. The convener of the committee shall be designated by the Institute Director, and the thesis advisor shall not serve as the convener.
2. The qualifications of the examination committee members shall be handled in accordance with the regulations of the Ministry of Education.

Article 12 (Degree Examination and Grading)

The degree examination and grading shall comply with the following regulations:

1. After a graduate student's application for the degree examination is approved and recorded, a date shall be selected to conduct the degree examination matters. The examination shall be conducted in the form of an oral defense.
2. When an examination committee member is absent, no proxy may act on their behalf. At least three committee members must be present for the degree examination, and external members must account for at least one-third of the attending members; otherwise, the examination cannot be held. If an examination has already been held under such non-compliant conditions, its score shall not be recognized.
3. Regulations regarding the schedule of the degree examination:
   (1) The degree examination is held once per semester, and its schedule shall follow the University's academic calendar. The degree examination may be postponed for cause, provided that it is held within the stipulated extended duration of study.
   (2) A graduate student who has applied for the degree examination but is unable to complete it within that semester due to special incidents may, before the end-of-semester date specified in the academic calendar, apply for an extension upon approval by the Institute Director and the Dean of Academic Affairs, to be held before the deadline specified by the University. Otherwise, a request must be submitted to the University to cancel the degree examination application for that semester. Failure to cancel the application before the deadline and failure to hold the examination shall result in a failing grade for that

attempt.

(3) After the degree examination is held, this Institute shall wait until the graduate student submits the final thesis signed and approved by the examination committee members before submitting the student's degree examination score to the Office of Academic Affairs for registration. However, the score must arrive no later than the date specified by the University; otherwise, it shall result in a failing grade for that attempt.

4. The passing score for the degree examination is 70 points, with a maximum score of 100 points. The score shall be determined by the average score of the secret ballots cast by the attending committee members; voting is limited to one round. If more than one-third (inclusive) of the attending committee members grade the examination as failing, the examination shall be deemed a failure.

5. A student who fails the degree examination and whose extended duration of study has not yet expired may retake the examination in the subsequent semester or academic year. Retaking the examination is limited to one time. If the retake remains a failure, the student shall be ordered to withdraw from the University (dismissed). If a student changes their thesis topic after failing the previous degree examination, it shall be deemed a change of thesis advisor, and they may reapply for a thesis advisor according to the aforementioned "Application Procedure for Thesis Advisor."

6. A grade must be determined at the time of the degree examination; it must not be left ungraded under the name of a "preliminary meeting" or "review meeting." Any examination left ungraded shall be deemed a failure.

7. Examination committee members shall evaluate the master's thesis based on the following main criteria:

(1) Research methodology.

(2) Sources of data/information.

(3) Writing and structure.

(4) Insights, original views, or inventions.

Chapter V: Graduation and Degree

Article 13 (Graduation and Graduation Score)

1. Graduate students of this Institute who meet all of the following requirements shall be permitted to graduate:

(1) Completed all required subjects and credits within the specified duration of study with passing grades.

(2) Fulfilled all graduation criteria specified in these Academic Regulations.

(3) Obtained passing conduct grades in every semester.

(4) Regular master's students of this Department must fulfill the University's English proficiency requirements.

(5) Master's students of this Institute (excluding foreign and international students) must attend the master's program lectures of this Department during their second and third semesters, with an attendance rate of at least 85%.

(6) Submit the official copies of the thesis bound in the format specified by the Ministry of Education.

2. The graduation score of a graduate student shall be the average of their academic grade point average (GPA) and their degree examination score. Credits and grades of courses taken in the undergraduate program or the teacher education program by master's students shall not be included in the calculation of

the semester average grade or the number of credits taken per semester, nor shall they be counted toward graduation credits or the graduation score.

Article 14 (Conferral of Master's Degree)
Master's students who fulfill the graduation requirements in the preceding article and whose eligibility for a master's degree has been reported to and approved by the Ministry of Education shall be issued a degree certificate by the University, conferring the degree of Master of Science (Master of Science in Finance).

Chapter VI: Supplementary Provisions

Article 15 (Amendments)
Any matters not covered in these Academic Regulations shall be handled in accordance with the relevant laws and regulations of the Ministry of Education and the University, and shall be automatically amended following any changes to the aforementioned laws and regulations. These Academic Regulations may be amended by a resolution of the Institute Council based on the teaching, research, and academic development needs of this Institute.

Article 16 (Implementation)
These Academic Regulations shall be implemented upon being attended by more than two-thirds of the full-time faculty members at the rank of assistant professor or above at the Institute Council meeting, approved by a majority vote, and reported to the University for approval. The same procedure shall apply to any amendments.

(There are sixteen articles in total in these Academic Regulations; the remainder of this page is intentionally left blank.)