National Yunlin University of Science and Technology

Department of Finance



2025 Academic Year

Master Program Admissions Handbook

" For accuracy, please consult the university Academic Regulations and department Graduation Requirements (by admission year). Any updates will be subject to official university and department announcements. This English version is translated by AI for reference only. In the event of any discrepancy between the Chinese original and the English translation, the Chinese version shall prevail."

September 2025

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About Us

I. History

1996 Department of Finance was established.

1999 Ph.D. program of College of Management was established. We offered teaching and research to the finance division.

2000 Master program was established.

2001 Undergraduate program (four-year bachelor) and EMBA program and continuing education classes were established. We had continuing education classes in Douliou and Taichung.

2006 Ph.D. program is established.

2007 Two-year college (including in-service program) stopped enrolment.

2009 2+2 Joint-Degree Program (contracted with Foreign Trade University in Vietnam) was established.

2011 Enroll foreign students in PhD program.
Finance and Banking joint professional master degree program signed contract with AIT.
Signing MOU contract, Exchanging Students and Dual-degree contract with Woosong University.

2012 Signing MOU, Joint education program framework agreement, 2+2 Dual-degree and 1+1 master Dual-degree contract with Nong Lam University.
Signing MOU contract with Vietnam DongNai University.

2013 Held Taiwan Finance Association International Conference.

2014 Set up English master program courses.
Accredited by the Association to Advance Collegiate Schools of Business (AACSB)
Enroll 9 Indonesian students in master program.
Enroll 1 Vietnamese students in Doctorate.

2015 Held 2016 Joint Meeting of the Cross-Strait Financial Education Alliance and the Central Taiwan Finance Association (CTFA)
Accredited by the Accreditation of Chinese Collegiate School of Business(ACCSB)

2016 The first batch of government-supported Indonesian students were graduated and the first batch of lecturer trained by Department of Finance who work at University in Indonesia.
FinTech program is established.
Set up FinTech Lab.

2017 Set up Financial Big Data center.

2018 Set up Finance Innovation Classroom.

2024 Set up Sustainable Finance Lecture Classroom.

II. Educational Features

【Next-Gen Finance × Diversified Professional Cultivation】

Our systematic four-year curriculum is structured around five core pillars, designed to deepen students' financial expertise, align with industry trends, and build solid career competitiveness.

1. **Foundational Economics & Finance:** Courses ranging from Calculus, Economics, Accounting, and Statistics to Financial Management build robust analytical capabilities and logical reasoning for future decision-making.
2. **Regulations & Professional Ethics:** Courses like Commercial Law, Civil Law, Financial Regulations, and Business Ethics foster a strong sense of legal compliance and professional integrity in financial practices.
3. **Advanced Financial Management:** Covering Investments, Financial Institutions, Futures & Options, and Financial Statement Analysis, and extending to Portfolio Analysis, Financial Engineering, and Risk Management to equip students with market interpretation and trading capabilities.
4. **Fintech & Data Analytics:** Integrating Programming, Computer Applications, Financial Data Analysis, Machine Learning, and Quantitative Trading to strengthen cross-disciplinary digital skills and AI applications.
5. **Practice-Oriented Career Exploration:** Bridging academia and the workplace through Practical Projects, Summer/Semester Internships, and Professional Certifications to ensure students are fully career-ready upon graduation.

【Comprehensive Expertise × Practice-Driven Faculty】

- **Top-Tier Faculty Across Five Domains:** Our department boasts a premier faculty lineup bridging theory and practice across: (1) Corporate Finance & Management, (2) Investments, Fintech & Quantitative Trading, (3) Banking, Insurance & Real Estate, (4) Asset Valuation & Financial Derivatives, and (5) Industrial Economics & Econometrics.
- **Dual Focus on Research & Industry Practice:** Holding prestigious credentials such as CPA, CSIA, and ISO international certifications, our faculty members consistently lead National Science and Technology Council (NSTC) projects, government commissions, and industry collaborations. Their active engagement in international journals and cross-institutional research ensures students master the latest global trends.

【A Legacy of Excellence × Global Recognition】

- **Elite AACSB Accreditation:** Driven by a steadfast commitment to educational quality, the College of Management earned accreditation from the **Association to Advance Collegiate Schools of Business (AACSB)** in 2014, placing it among the top 5% of business schools globally alongside institutions like Harvard and Stanford. Following successful re-accreditation in 2019 and 2024, it stands as **the first university of science and technology in Taiwan to achieve this honor three consecutive times**.

- **A Passport to the World:** Degrees awarded by the College of Management carry global mobility and are widely recognized by AACSB member schools worldwide. Additionally, the college is exempt from regular evaluations by the Ministry of Education, offering students a gold-standard international credential.

III. Mission and Learning Goals

Learning Goals	Learning Objectives
Problem-solving ability	Students are able to use information technologies to solve problems.
Analytical ability	Students are able to use fundamental knowledge to solve problems.
Communication skill	Students are able to communicate both in speech and in writing.
Teamwork and cooperation	Students demonstrate teamwork by listening to, sharing with, and supporting the efforts of members from diverse backgrounds.
Global perspective	Students show awareness and understanding of global perspectives.
Professional ethics	Possess professional ethics and positive attitude.
Social participation	Engage with societal and environmental needs and concerns.

IV. Facilities and Equipment

Our department is fully committed to providing students with a state-of-the-art learning and research environment, aimed at fostering professional competence and hands-on experience. Our key facilities include:

1. **Professional Classrooms & Case Study Rooms:** Equipped with high-definition projection systems and premium audio equipment, these spaces offer a highly comfortable and collaborative environment for interactive learning and group discussions.
2. **FinTech Lab:** Configured with advanced computer hardware and cutting-edge financial statistics and analysis software—including SAS, SAS Viya, Stata, EViews, and the XQ/XS Securities Trading Platform—to support students in advanced data analytics and simulated trading.
3. **Financial Big Data Center:** Housing a comprehensive collection of premium financial and economic databases—such as Compustat, Thomson Reuters Datastream, and TEJ (Taiwan Economic Journal)—to satisfy all academic research and project analysis needs.
4. **Sustainable Finance Lecture Room:** Featuring high-definition display systems and flexible lounge spaces, this facility is designed to foster professional networking, student interaction, and cross-disciplinary collaboration.

Overview of Facilities and Equipment

I. Computing Facilities

- **FinTech Laboratory (MA314):**
  - Classroom broadcasting system (1 set)
  - Personal computers (83 units)
  - Multi-screen video wall (1 set)
  - LED display screen (1 unit)
- **Financial Big Data Center (MA313):**
  - Dedicated workstations for Compustat & Datastream (2 units)
  - General personal computers (8 units)

II. Research & Academic Space

- **Master student learning (MD302[Ext. 5495]、MD303[Ext. 5496]):**
  - Personal passcode-protected lockers
  - Personal computers (3 units)
  - Network printer (1 unit)
  - Independent static IP addresses
  - Bulletin board
- EMBA Discussion Room/ Pray Room MD205

III. Statistical Software & Databases

A. Software & Operating Systems

I. **Operating Systems & Utilities:** Windows 11, Microsoft Office 2016 / 2021, ESET NOD32 Antivirus.
II. **SAS (Includes BASE, GRAPH, STAT, ETS):** Advanced statistical analysis software widely utilized in social sciences.
III. **EViews:** Specialized software for econometric analysis, forecasting, and modeling.
IV. **Stata:** Comprehensive statistical software package for quantitative data analysis.

B. Subscribed Databases

- **TEJ (Taiwan Economic Journal) Database:** Comprehensive financial data for Taiwanese market analysis.
- **S&P Capital IQ Research Insight:** In-depth financial statement and market data for U.S. corporations.

IV. Financial & Statistical Software Specifications

Software	Primary Purpose	Version
SAS	Statistical Analysis Software	V9.4 (Windows)
SAS Viya	High-Performance AI & Data Analytics Platform	Latest Cloud-Native
E-views	Econometric Forecasting & Analysis Software	V14 (Windows)
Stata	Comprehensive Statistical Software Package	V18 (Windows)

Faculty and Staff members

I. Faculty

With 14 faculty members, including 3 professors, 9 associate professors, 2 assistant professors.

Name	Position	Education	Ext	Office	E-Mail @yuntech.edu.tw	Specialties
Kuo, Shew Huei	Chairperson of Finance Department and Associate Professor	Ph.D. in Economics, Iowa State University, USA	5418、5400	MD505	kuosh	Financial Risk Management, Time Series Econometrics, and Financial Markets
Lin, Hsien Ping	Associate Chairperson of Finance Department and Associate Professor	Ph.D. in Finance, National Chung Cheng University, R.O.C.	5419	MD502	lsping	Corporate Finance, Investment, and Venture Capital
Chiang, Ming Chu	Professor	Ph.D. in Finance,National Sun Yat-sen University ,R.O.C.	5421	MD503	mingchuc	Real Estate Finance, Risk Management, and ESG consulting
Chou, Shu Ching	Professor	Ph.D. in Business Administration,National Sun Yat-Sen University,R.O.C.	5417	MD510	chousc	Corporate finance、Corporate governance、Financial Accounting
Cheng, Cheng Ping	Professor	Ph.D. in Economics , Michigan State University, USA	5341	MA340	cpcheng	Institution Economics, History of Economic Thought, Industrial Economics, China's Economy and Quantitative Methods

Name	Position	Education	Ext	Office	E-Mail @yuntech.edu.tw	Specialties
Hsu, Ai Chi	Vice Dean, Chairperson of Academic Programs and Associate Professor	Ph.D. in Economics, Michigan State University, USA	5413	MD417	hsuac	Econometrics, Financial Market, and Economics
Lin, Shin Hung	Associate Professor	Ph.D. in Finance, National Taiwan University, R.O.C.	5416	MD418	shlin	Investment, Financial Engineering, and Financial Management
Lai, Yi Hsun	Associate Professor	Ph.D. in Finance, National Chung Cheng University, R.O.C.	5420	MB404	laiyihsu	Risk Management and Insurance, Insurance Financial Management, Property-Liability Insurance, and Financial Institutions Management
Liu, Chih Liang	Associate Professor	Ph.D. in Management, National Chiao Tung University, R.O.C.	5422	MB304	chlliu	Corporate Finance and Governance, Entrepreneurial Finance, Banking, Investment
Chang, Tzu Pu	Associate Professor	Ph.D. in Management, National Chiao Tung University, R.O.C.	5338	MD504	changtp	Investment, Financial Market, and Financial Institution
Hsu, Ching Yu	Associate Professor	Ph.D. in Finance, National Taiwan University, R.O.C.	5412	MB314	chingyu	Corporate Finance and Financial Empirical Study
Liu, Wen Rang	Associate Professor	Ph.D. in Finance, National Taiwan University, R.O.C.	5423	MB317	wrliu	Empirical study of asset pricing Derivative financial market Investment
Shiau, Chiou Ming	Assistant Professor	Ph.D. in Finance, National Chung Cheng University, R.O.C.	5415	MD405	shiaucm	ESG consulting, Carbon Pricing, Corporate Social Responsibility (CSR), Financial Engineering, and Sports Economics
Liao, Li Kai	Assistant Professor	Ph.D. in Financial Economics, University of New Orleans, New Orleans, USA	5411	MB401	lliao	FinTech Applications, Financial Accounting, Corporate Governance and Sustainability, Corporate Financial Strategy, and Capital Market-Related Issues.

II. Department Office Contact Information & Facility Codes

NYUST Switchboard: +886-5-534-2601

Building Codes: MA (Management Building I) / MB (Management Building II) / MD (Management Building III)

1. Administrative Staff & Responsibilities

All department offices are located in **Room MA301**. Please contact the respective staff member based on your academic program or inquiry.

Staff Member	Title	Ext.	Email @yuntech.edu.tw	Primary Responsibilities
Shen, Yu Yeh	Officer	5404	T11400595	Undergraduate Program & Curriculum Affairs
Liu, Chiu Min	Administrative Assistant	5403	liuch	EMBA, Master's, and Ph.D. Programs
Lin, Wei Chun	Administrative Assistant	5402	weichunl	Facilities Management, Institutional Property Maintenance, and International Student Affairs

2. Important Communication Channels

- **Official Email Correspondence:** Email is the primary channel used by the department office to communicate official announcements. Since your university email storage is limited, **please clean your inbox regularly to avoid bouncing important messages**. If you prefer using external accounts (e.g., Gmail, Hotmail, Yahoo), ensure you set up email forwarding properly to prevent missed notifications.
- **Class Representatives & Mailboxes:** The department office frequently relays notices through class representatives. Class representatives must check the physical class mailboxes at the department office regularly. Critical updates will also be posted in the Class Reps group chats; please stay attentive to these announcements.
- **Official Facebook Page:** Stay connected via our Facebook page (雲科大財金系): **https://www.facebook.com/NYUSTumf/**. Join us for real-time campus updates, official announcements, and department news.

Academic Research Ethics Education Guidelines

1. **Regulatory Requirement:**
   According to Subparagraph (3), Paragraph 2 of the university's *"Operational Guidelines for Degree Examination Applications and Graduation Checkout,"* all master's and doctoral students enrolled **from the 2017–2018 academic year onward** are required to take the "Academic Research Ethics Education" course.
   - **Deadline:** Students are expected to complete and pass the course before the end of their first academic year.
   - **Consequence:** Students who fail to pass this course **will not be eligible to apply for their degree examination (thesis/dissertation defense).**

2. **Online Learning Platform & Login Instructions:**
   The course is hosted online via the **Center for Taiwan Academic Research Ethics Education (AREE)**. For detailed instructions regarding your login account and password, please refer to the *"Mandatory Student User Manual"* available on the official AREE website.

Master Program Curriculum Flowchart, Department of Finance

2025 Academic Year

Amended and approved by the Department Curriculum Committee Meeting on October 23, 2025

Required Courses Flowchart for Master of Science in Finance
(Teaching Hours - Practice Hours - Scores)

First session		Second session	
First semester	**Second semester**	**First semester**	**Second semester**
Required Courses (Total 23 credits, contain 6 credits of thesis)			
計量經濟學(一) Econometrics I 3-0-3 **(English Mediated Instruction)**	期貨與選擇權市場 Futures and Option Markets 3-0-3 **(English Mediated Instruction)**	碩士論文 Master Thesis 3-0-3	碩士論文 Master Thesis 3-0-3
金融市場與機構 Financial Markets and Institutions 3-0-3 **(English Mediated Instruction)**	投資管理學 Investment Management 3-0-3 **(English Mediated Instruction)**	財務金融專題研討(二) Seminar in Finance（Ⅱ） 1-0-1	
財務管理 Financial Management 3-0-3 **(English Mediated Instruction)**	財務金融專題研討(一) Seminar in Finance（Ⅰ） 1-0-1		
9-0-9	7-0-7	4-0-4	3-0-3
Required Selective Courses (Total 21 credits)			
程式設計 Program Design 3-0-3	管理經濟學 Management Economics 3-0-3	國際金融創新 International Financial Innovation 3-0-3	國際金融市場 International Financial Market 3-0-3
時間數列分析 Time Series Analysis 3-0-3	行為財務與投資策略 Behavior Finance and Investment Strategies 3-0-3	企業評價 Corporate Valuation 3-0-3	金融機構專題研討 Seminar in Financial Institutions 3-0-3
財務數學(一) Mathematics for Financial(Ⅰ) 3-0-3	風險測量模擬 Simulations for Risk Measurement 3-0-3	債券市場 Bond Markets 3-0-3	金融市場微結構-理論與實證(二) Market Microstructure Empirical Studies and Applications （Ⅱ） 3-0-3
財務決策 Financial Decision Making 3-0-3	金融機構管理 Financial Institutions Management 3-0-3	風險管理專題研討 Seminar in Risk Management 3-0-3	哈佛國際企業個案研討(企) Participants-Centered Harvard Case Study in International Management 3-0-3 **(English Mediated Instruction)**
中國大陸經濟市場 3-0-3	人工智慧在財務上應用(一) Artificial Intelligence in Finance(Ⅰ) 3-0-3	人工智慧在財務上應用(二) Artificial Intelligence in Finance(Ⅱ) 3-0-3	
企業財務管理專題 Special Topics of Corporate Finance 3-0-3	財金專業英文 Professional Finance English 3-0-3	不動產投資 Investment And Decision Analysis of Property 3-0-3	
國際企業管理(企) International Business Management 3-0-3 **(English Mediated Instruction)**	財務報表分析 Financial Report Analysis 3-0-3	金融市場微結構-理論與實證(一) Market Microstructure Empirical Studies and Applications （Ⅰ） 3-0-3	

新興市場分析(企) Emerging Market 3-0-3 **(English Mediated Instruction)**	財務金融個案研究 Case study in Financial Management 3-0-3 不動產估價 Real Estate Valuation 3-0-3 風險管理與保險 3-0-3 財務數學(二) Mathematics for Financial(Ⅱ) 3-0-3 金融績效評估 Performance Evaluation in Financial Industry 3-0-3 計量經濟學(二) Econometrics (Ⅱ) 3-0-3 研究方法 Research Method 3-0-3 國際金融研討 1-0-1 基礎財務理論 Fundamental Financial Theory 3-0-3 金融風險管理 Financial Risk Management 3-0-3 企業合併與收購 Corporate Mergers and Acquisitions 3-0-3 投資組合分析與管理 Portfolio Analysis and Management 3-0-3 國際策略管理(企) International Strategic Management 3-0-3 **(English Mediated Instruction)** 企業研究方法(企) Business Research Method 3-0-3 **(English Mediated Instruction)**	公司治理專題 Topics in Corporate Governance 3-0-3 財金書報研讀 Study to read financial book & newspapers 3-0-3 財務實證研究 Financial Empirical Study 3-0-3 人工智慧與資產定價 Artificial Intelligence and Asset Pricing 3-0-3 財金巨量數據分析 Financial Big Data Analysis 3-0-3 **(English Mediated Instruction)** 國際財務管理 International Financial Management 3-0-3 **(English Mediated Instruction)**	
24-0-24	61-0-61	39-0-39	12-0-12

備註 Notes：

1. 本所碩士生(外籍生、國際生除外)最多可選修2門外系課程，且至多6學分；外籍生之外系選修學分上限為24學分。
   Students(except for foreign students) may take a maximum 6 credits from other departments.For foreign students,the maximum number of credits that can be taken from other departments is 24.
2. 本所英文畢業門檻訂為新多益測驗 550 分(含)以上，碩士班學生（不含身心障礙學生、在職專班學生及國際學生）於入學前二年內或入學後，需先考過 1 次同等級之校外英語測驗。未達本所畢業門檻者，得以修習本校「專技英文閱讀」課程之及格成績替代之。但學生入學前已通過本校英語能力要求者，成績證明具同等效力。
   The English proficiency requirement for graduation from this department is a score of 550 or above on the TOEIC (New Version) or an equivalent standard. Master's students (excluding students with disabilities, Executive Master's program students, and foreign students) are required to take at least one equivalent off-campus English proficiency test within two years prior to admission or after enrollment. Students who do not meet the graduation requirement may substitute it with a passing grade in the university's 'Technical English Reading' course. However, for students who have already passed the university's English proficiency requirements prior to admission, their official score report or certificate shall remain valid with equivalent effect.
3. 必選修課名如有異動，學生得修習異動後之課程。
   If the required courses had changed its name, students should take the new required courses.
4. 學生需按照課程流程圖之安排修課，若必選修課程停開或與本系專業科目衝堂時，學生得經系所同意，另選修其它課 程，以抵免必選修課程。
   Students should follow the course flow chart to take the course. If there are courses conflict or required selective course not offered, the other courses could be waived under office’s permission.

合計：最低畢業總學分數為 44 學分(含碩士論文 6 學分)
Total: lowest score of 44 graduate credits (contain 6 credits of thesis)

Academic Regulations for Master Students of the Graduate Institute of Finance

(Applicable to Students Entering in the 2025 Academic Year)

Amended and approved by the Department General Meeting on September 10, 2025

Chapter I: Admission Procedures

Article 1 (Admission Qualifications)

Graduates from public or registered private universities, independent colleges, or foreign universities recognized by the Ministry of Education who hold a bachelor's degree or possess an equivalent level of educational attainment eligible for application, and who are admitted through the recommendation and admission screening or the entrance examination of the Master's Program of the University, may enroll in the Master's Program of this Institute. For the Executive Master's Program (In-Service Program) of this Institute, applicants must hold a bachelor's degree or possess an equivalent educational qualification, have relevant work experience calculated from the date of obtaining the bachelor's degree or equivalent qualification, and be admitted through the entrance examination of the University.

Article 2 (Recommendation Screening and Entrance Examination)

1. Recommendation Screening:

The Master's Admissions Committee of the University shall evaluate performance based on the following items and select the best candidates for admission:

(1) Document Review.

(2) Interview.

2. Entrance Examination:

The Master's Admissions Committee of the University shall evaluate performance based on the following selected items and select the best candidates for admission:

(1) Written Examination.

(2) Document Review.

(3) Interview.

Article 3 (Admission Quota)

The total number of students admitted each year to the Master's Program of this Institute through recommendation screening and entrance examinations shall be based on the quota approved by the Ministry of Education. The same applies to the Executive Master's Program.

Chapter II: Duration of Study and Graduation Credits

Article 4 (Duration of Study)

The duration of study for the Master's Program shall be at least two years and may be extended by a maximum of two years. However, the duration of study may not be shortened for the purpose of applying for early graduation (except for students under the Pre-graduate Student Program). However, for students in the Executive Master's Program who have waived 9 credits or more (inclusive) at the time of admission, they may apply to shorten their duration of study and graduate one semester early. For those who re-enroll in the same degree system and have previously obtained 27 credits or more at the University, they may apply to shorten their duration of study and graduate one academic year early. Executive Master's students who fail to complete the required courses or the master's thesis within the stipulated timeframe may have their duration of study extended by a maximum of one year due to special needs upon approval.

Article 5 (Graduation Credits)

The minimum number of credits required for graduation for regular students of this Institute shall be 38 coursework credits plus 6 master's thesis credits, totaling 44 credits.

The minimum number of credits required for graduation for students in the Executive Master's Program shall be 33 coursework credits from the Executive Master's curriculum plus 6 master's thesis credits, totaling 39 credits.

Chapter III: Coursework

Article 6 (Coursework)

1. (1) Regular students of this Institute may apply for credit waivers after the start of each semester according to the dates specified in the academic calendar. Waiverable subjects are: master's-level courses with the same course title taken at a university or independent college, or courses whose content is verified to be identical to those offered by this Institute despite having a different course title, subject to review and approval by this Institute. For master's courses previously completed at another university or in another department of this University with a grade of 70 or above, and which were not counted toward the graduation credits of the undergraduate degree, the maximum number of waiverable credits shall be limited to 6 credits (except for Pre-graduate students and students admitted through recommendation screening of this University).
   (2) Students in the Executive Master's Program may apply for credit waivers after the start of each semester according to the dates specified in the academic calendar. Waiverable subjects are: master's-level courses taken at a university or independent college with a grade of 70 or above. The total number of credits waived from other departments of this University and other universities shall be limited to a maximum of 6 credits. The total number of applied waiver credits shall not exceed one-half of the minimum number of graduation credits (excluding the graduation thesis).
2. Upon enrollment, a master's program mentor shall serve as the student's "Academic Advisor" to guide the student's course selection. The Academic Advisor shall guide the student's course selection until the student's "Thesis Advisor" is officially determined, at which point the Thesis Advisor shall take over the guidance of the student's course selection.
3. With the approval of the Institute Director, master's students of this Institute may take courses relevant to their thesis from other departments/institutes during their period of study, up to a maximum of two courses.

4. Regular students of this Institute are not permitted to take courses offered exclusively for the Executive Master's Program, unless the course is jointly offered for both programs. However, students who fail the same course twice are thereafter permitted to take the corresponding course offered by the Executive Master's Program of this Department.
5. Regular students of this Institute may take no more than 16 credits per semester (these 16 credits exclude waived credits, Specialized English Reading, and thesis credits).
6. Students in the Executive Master's Program may take no more than 12 credits per semester (these 12 credits exclude waived credits and thesis credits).

Chapter IV: Thesis Supervision, Degree Examination, and Grading

Article 7 (Application for Thesis Advisor)

1. The application procedure is as follows:
   Students may apply for a thesis advisor starting from the date of enrollment registration and classes. Regular students must submit the Statement of Thesis Advisor Consent to this Department by November 30. Executive Master's students must submit the statement between March 1 and April 15. The student shall complete the registration procedure at the Institute Office within the specified period. Eligible advisors are full-time faculty members at the rank of assistant professor or above in this Department/Institute (full-time faculty members from other departments of this University who teach in this Institute and part-time faculty members from other universities who teach in this Institute are not restricted by this regulation).
2. The selection of a thesis advisor must be based on the faculty member's expertise, and the application and assignment of the advisor shall have no relevance to the individual's teaching or research load. Full-time faculty members at the rank of assistant professor or above from other departments of this University who teach in this Institute may advise a maximum of one student.
3. For faculty members who are not full-time assistant professors or above in this Department, the number of students they advise shall, in principle, not exceed the average number of students advised by the full-time faculty members of this Department, and they must co-advise students alongside a full-time faculty member of this Department/Institute.

Article 8 (Termination of Thesis Supervision)

Under any of the following circumstances, a thesis advisor may, with the consent of the Institute Director, terminate thesis supervision:

1. The graduate student fails to follow the advisor's instructions regarding course selection or thesis writing.
2. The graduate student continuously fails to maintain contact with the advisor for a considerable period without a justifiable reason.
3. The graduate student holds full-time employment inside or outside the University without obtaining prior consent from the advisor.

Article 9 (Change of Thesis Advisor)

Under any of the following circumstances, a graduate student may request to change their thesis advisor upon the consent of both the current thesis advisor and the Institute Director:

1. The advisor goes abroad, takes a leave of absence, pursues advanced studies, or encounters other incidents that prevent them from completing thesis supervision within the student's duration of study.

2. Other situations where a change of thesis advisor is genuinely necessary due to justifiable reasons.

Article 10 (Application for Degree Examination)

Students of this Institute who have completed the submission and presentation of their thesis research proposal, have studied for at least the second semester of their second academic year (except for Pre-graduate students), have completed all required courses and credits (including those of the current semester), and have completed the first draft of their thesis, may apply for the Master's Degree Examination (Thesis Oral Defense). The application for the Master's Degree Examination shall be handled in accordance with the following regulations:

1. Apply within the timeframe specified in the University's academic calendar.
2. Upon application, the following documents must be fully compiled and submitted:
   (1) Degree Examination Application Form.
   (2) Degree Examination Application Review Form.
   (3) One copy of the first draft of the thesis.
3. Upon approval by the thesis advisor and the Institute Director, the application shall be reported to the University for record-keeping prior to the degree examination.
4. Students shall strictly follow the standard format specifications for general master's theses when writing their thesis.

Article 11 (Degree Examination Committee)

The Master's Degree Examination shall be organized and conducted by a Degree Examination Committee. The committee shall be formed in accordance with the following regulations:

1. The examination committee shall consist of 3 to 5 professors or experts, either from within or outside the University, whose expertise is related to the research thesis proposed by the master's degree candidate, recommended by the thesis advisor, agreed upon by the Institute Director, and appointed after reporting to the University President for approval. External committee members from outside the University must account for at least one-third (inclusive) of the committee. The convener of the committee shall be designated by the Institute Director, and the thesis advisor shall not serve as the convener.
2. The qualifications of the examination committee members shall be handled in accordance with the regulations of the Ministry of Education.

Article 12 (Degree Examination and Grading)

The degree examination and grading shall comply with the following regulations:

1. After a graduate student's application for the degree examination is approved and recorded, a date shall be selected to conduct the degree examination matters. The examination shall be conducted in the form of an oral defense.
2. When an examination committee member is absent, no proxy may act on their behalf. At least three committee members must be present for the degree examination, and external members must account for at least one-third of the attending members; otherwise, the examination cannot be held. If an examination has already been held under such non-compliant conditions, its score shall not be recognized.
3. Regulations regarding the schedule of the degree examination:
   (1) The degree examination is held once per semester, and its schedule shall follow the University's academic calendar. The degree examination may be postponed for cause, provided that it is held within the stipulated extended duration of study.
   (2) A graduate student who has applied for the degree examination but is unable to complete it within that

semester due to special incidents may, before the end-of-semester date specified in the academic calendar, apply for an extension upon approval by the Institute Director and the Dean of Academic Affairs, to be held before the deadline specified by the University. Otherwise, a request must be submitted to the University to cancel the degree examination application for that semester. Failure to cancel the application before the deadline and failure to hold the examination shall result in a failing grade for that attempt.

(3) After the degree examination is held, this Institute shall wait until the graduate student submits the final thesis signed and approved by the examination committee members before submitting the student's degree examination score to the Office of Academic Affairs for registration. However, the score must arrive no later than the date specified by the University; otherwise, it shall result in a failing grade for that attempt.

4. The passing score for the degree examination is 70 points, with a maximum score of 100 points. The score shall be determined by the average score of the secret ballots cast by the attending committee members; voting is limited to one round. If more than one-third (inclusive) of the attending committee members grade the examination as failing, the examination shall be deemed a failure.
5. A student who fails the degree examination and whose extended duration of study has not yet expired may retake the examination in the subsequent semester or academic year. Retaking the examination is limited to one time. If the retake remains a failure, the student shall be ordered to withdraw from the University (dismissed). If a student changes their thesis topic after failing the previous degree examination, it shall be deemed a change of thesis advisor, and they may reapply for a thesis advisor according to the aforementioned "Application Procedure for Thesis Advisor."
6. A grade must be determined at the time of the degree examination; it must not be left ungraded under the name of a "preliminary meeting" or "review meeting." Any examination left ungraded shall be deemed a failure.
7. Examination committee members shall evaluate the master's thesis based on the following main criteria:
   (1) Research methodology.
   (2) Sources of data/information.
   (3) Writing and structure.
   (4) Insights, original views, or inventions.

Chapter V: Graduation and Degree

Article 13 (Graduation and Graduation Score)

1. Graduate students of this Institute who meet all of the following requirements shall be permitted to graduate:
   (1) Completed all required subjects and credits within the specified duration of study with passing grades.
   (2) Fulfilled all graduation criteria specified in these Academic Regulations.
   (3) Obtained passing conduct grades in every semester.
   (4) Regular master's students of this Department must fulfill the University's English proficiency requirements.
   (5) Submit the official copies of the thesis bound in the format specified by the Ministry of Education.
2. The graduation score of a graduate student shall be the average of their academic grade point average (GPA) and their degree examination score. Credits and grades of courses taken in the undergraduate program or the teacher education program by master's students shall not be included in the calculation of the semester

average grade or the number of credits taken per semester, nor shall they be counted toward graduation credits or the graduation score.

Article 14 (Conferral of Master's Degree)

Master's students who fulfill the graduation requirements in the preceding article and whose eligibility for a master's degree has been reported to and approved by the Ministry of Education shall be issued a degree certificate by the University, conferring the degree of Master of Science (Master of Science in Finance).

Chapter VI: Supplementary Provisions

Article 15 (Amendments)

Any matters not covered in these Academic Regulations shall be handled in accordance with the relevant laws and regulations of the Ministry of Education and the University, and shall be automatically amended following any changes to the aforementioned laws and regulations. These Academic Regulations may be amended by a resolution of the Institute Council based on the teaching, research, and academic development needs of this Institute.

Article 16 (Implementation)

These Academic Regulations shall be implemented upon being attended by more than two-thirds of the full-time faculty members at the rank of assistant professor or above at the Institute Council meeting, approved by a majority vote, and reported to the University for approval. The same procedure shall apply to any amendments.

(There are sixteen articles in total in these Academic Regulations; the remainder of this page is intentionally left blank.)

Summary of Academic Regulations

(1) Coursework and Enrollment Regulations

1. **Prerequisite Coursework Policy:** Regular Master's students are not permitted to enroll in courses offered by the Executive Master's Program. Any such courses taken will not be counted toward graduation credits.
2. **Inter-departmental Electives:** For thesis and research purposes, Master's students may, with the prior approval of their thesis advisor, take up to 6 credits of graduate courses from other departments. Credits exceeding this limit or taken without the advisor's signature of approval will not be counted toward graduation.
3. **Exchange Programs and Internships:** Students planning to participate in overseas exchange programs or corporate internships during their studies must obtain their advisor's approval prior to application. Applications should be submitted on the condition that the progress of the thesis writing will not be adversely affected.
4. **Academic Ethics Education Requirement:** According to Subparagraph (3) of Article 2 of the university's "Operational Guidelines for Degree Examination Applications and Graduation Checkout," Master's and Doctoral students admitted from the 2017 (106th) academic year onwards must complete the "Academic Ethics Education" course through the Center for Taiwan Academic Research Ethics Education under the Ministry of Education. It is highly recommended that students complete this course before the end of their first academic year. Students who have not passed this course are ineligible to apply for the degree examination. (For information regarding login accounts and passwords for the online platform, please refer to the "Center for Taiwan Academic Research Ethics Education - Required Course Student Manual.")

(2) Selection of Thesis Advisor

1. **Advisor Selection Timeline:** Students must select a thesis advisor before the end of the midterm exams in the first semester of their first academic year. The completed "Advisor Consent Form for Master's Thesis" must be submitted to the Department Office for compilation and archiving. Furthermore, students must complete the online submission for the "Thesis Topic and Advisor Registration" within the timeframe announced by the university during that semester.
2. **Advisor Responsibilities:** Once an advisor is selected, they will assume full responsibility for counseling the student regarding course selection and guiding the thesis writing process.
3. **Change of Advisor:** If a student wishes to change their advisor prior to graduation due to a mismatch of research interests, the request must be approved and signed by both the original advisor and the newly selected advisor on the "Application for Change of Thesis Advisor" form, which must then be submitted to the Department Office for processing.

(3) Thesis Writing and Oral Examination

1. **Thesis Credits:** To qualify for graduation, Master's students in this department must register for 6 thesis credits under the guidance of their advisor, write a thesis, and pass the oral examination.
2. **Oral Exam Application:** Students must apply for the thesis oral examination during their final semester of study within the timeframe specified by the university.

3. **Thesis Proposal:** Graduate students must present a thesis proposal report one semester prior to the final oral examination. The presentation schedule will be arranged by the advisor, and the presentation date must be reported to the Department Office. The thesis proposal committee consists of 3 members; a review panel composed of the advisor and department faculty members will evaluate the student's proposal.
4. **Degree Examination Committee Composition:** The thesis advisor serves as an ex-officio member of the committee. The committee must consist of at least 3 members, including at least 1 external member from outside the university. In cases where there is a co-advisor, the co-advisor must be included, and there must be at least 2 external members.
5. **Thesis Submission and Archiving:** Upon passing the oral examination, the thesis must be revised in accordance with the committee's comments. In addition to being photocopied, bound, and distributed to relevant university units, one copy must be submitted to the Department Office for archiving.
6. **Regulatory Reference:** All related matters shall be processed in accordance with the "University Regulations for Graduate Degree Examinations."

Implementation Guidelines for Master's Thesis Proposal Presentations

Department of Finance, National Yunlin University of Science and Technology

I. Preparation and Timeline for Master's Thesis

1. **Submission of Advisor Consent Form:** Upon admission, Master's students must select a thesis advisor and submit the completed Advisor Consent Form before the end of the midterm examinations in the first semester of their first academic year.
2. **Degree Examination Eligibility:** Students who pass their thesis proposal presentation are not permitted to apply for the final degree examination (oral defense) within the same semester. They become eligible to apply for the degree examination in the subsequent semester.

II. Content and Formatting Regulations for Thesis Proposals

1. **Content Requirements:**
    - Research topic, definition, and conceptual framework, along with research motivation and background statement.
    - Literature review and discussion.
    - Research methodology.
    - Expected progress and results.
    - References.
2. **Formatting Specifications:**
    - **Font:** Times New Roman.
    - **Font Size:** 12 pt.
    - **Line Spacing:** 1.5 lines.
    - **Paragraph Spacing:** One blank line must be inserted between paragraphs.
    - **Headings:** Chapter and section titles must use double spacing.
3. **Academic Integrity:** The writing format of the Master's thesis must comply with standard academic article requirements. Students must strictly adhere to academic ethics during writing; all references to or usage of other individuals' research outcomes must be properly and clearly cited.

III. Registration and Submission

With the prior approval of their thesis advisor, graduate Master's students must submit their thesis topics online. Students who fail to complete the registration on the webpage designated by the department within the specified deadline will be deemed to have forfeited their thesis proposal presentation for that semester.

IV. Thesis Proposal Oral Defense

1. **Scheduling and Venue:** The schedule, sessions, and venues for the oral defenses will be officially announced one week prior to the defense date.
2. **Public Presentation:** The thesis proposal oral defense shall be conducted openly and publicly.
3. **Materials Preparation:** Applicants for the oral defense must prepare all relevant documents and materials for review by the committee members and the thesis advisor.
4. **Committee Composition:** The thesis proposal review panel is composed of full-time faculty members from the department. Committee members must hold a doctoral degree or the qualification of assistant professor (or above).

V. Grading and Evaluation Standards

The evaluation results are categorized into two grades: (1) Pass and (2) Fail.

Defense Status	Final Result	Remarks
Passed by both committee members	**Pass**	
Passed by 1 member, failed by 1 member	**Fail**	
Failed by both committee members	**Fail**	

- **Remedial Defense:** If the evaluation result is a "Fail," the student may apply for a remedial defense (re-examination) after one month.
- **Subsequent Failure:** If the result of the second remedial defense is still a "Fail," the student must postpone their thesis proposal presentation to the following semester.

VI. Administrative Responsibilities

The Department Office and the presenting Master's students are mutually responsible for issuing appointment letters to the committee members, booking venues, coordinating defense sessions, creating official announcements, and distributing notifications.

VII. Implementation and Amendments

These guidelines shall be implemented upon approval by the Department Faculty Meeting. The same procedure shall apply to any subsequent amendments.

Academic Regulations for Student Suspension Applications

Amended at the 17th Academic Affairs Committee Meeting on April 23, 1996
(Other amendments omitted)
Amended at the 130th Academic Affairs Committee Meeting on December 29, 2025

1. These Guidelines are established in accordance with the University Academic Regulations to process matters related to student suspension.
2. Any student who wishes to apply for suspension must submit an application along with relevant supporting documents (those applying due to illness or pregnancy, including childbirth or miscarriage, must attach a medical certificate from a hospital; those applying due to personal reasons must attach a written statement of justification).
3. Applicants for suspension must personally complete all basic information required on the application form, sequentially obtain approval from the Dean of the College, and then proceed to complete the departure checkout procedures with the relevant units listed on the application form. Finally, the application form must be returned to the processing unit to complete the suspension procedures.
4. The suspension departure checkout procedures must be completed within seven days; otherwise, the application will be deemed invalid. Students who have completed the departure checkout procedures may fill out a form to apply for a Certificate of Suspension from the responsible administrative unit.
5. In principle, applying for suspension due to personal reasons shall be on the basis of one semester, one academic year, or two academic years. In addition, extended-study students who need to retake or make up credits in the second semester may apply for suspension for the first semester and be exempted from registration; those who choose to register must enroll in at least one course.
6. In principle, the cumulative suspension period is limited to two academic years. However, students who need to reapply for suspension due to severe illness, special emergencies, or engagement in practical professional work may be granted an extension of the suspension period by up to one year.
7. Any student drafted for military service during their suspension period may apply for reinstatement after the expiration of their military service by attaching a certificate indicating the end date of their service. The period of military service may be exempted from the restriction of the two-academic-year suspension limit.
8. Students who apply for suspension due to pregnancy, childbirth, or childcare for children under the age of three by submitting valid proof shall be treated in accordance with the regulations for suspension due to military service, and their suspension period shall not be counted toward the maximum suspension limit.
9. Senior high school graduates participating in the "Youth Education and Employment Savings Account Program" who are admitted to the university and apply for suspension after enrollment by submitting supporting documents shall be limited to a maximum period of 3 years, and their suspension period shall not be counted toward the suspension limit calculation. Graduating senior high school seniors participating in the "Youth Career Pilot Program" who are admitted to the university and apply for suspension after enrollment by submitting supporting documents shall be limited to a maximum period of 2 years, and their suspension period shall not be counted toward the suspension limit calculation.

10. Suspended students must apply for reinstatement (applications via mail are also acceptable) to the Registration Division one week prior to the expiration of their suspension period. Upon approval by the Dean of Academic Affairs, the student may resume their studies in their original department (group) and at the appropriate corresponding academic year or semester.
11. When a suspended student is unable to reinstate their enrollment upon the expiration of their suspension period due to any reason, they shall be dismissed from the university.
12. Anyone applying for suspension after the start of semester registration must first complete the registration procedures. Applications for suspension for the current semester will not be accepted within seven days prior to the start of semester examinations; however, graduate students who have completed all required credits for graduation and are not enrolled in any coursework (excluding the thesis) for the current semester shall not be subject to this restriction.
13. These Guidelines shall be implemented upon approval by the Academic Affairs Committee and final ratification by the President. The same procedure shall apply to any subsequent amendments.

Master's Program Forms Lists of Finance

Version	Chinese	English
Appendix I	指導教授同意指導碩士論文聲明書	Advisor Consent Statement for Master's Dissertation
Appendix II	學分抵免申請-修讀研究所學分證明	Course Credit Waiver - Certificate of Graduate Course Credits Earned
Appendix III	選修外系課程學分認定申請表	Credit Recognition of Elective Courses from Other Departments

國立雲林科技大學財務金融系

指導教授同意指導碩士論文聲明書

本人謹同意接受財務金融系學生

之申請，擔任該生之論文指導教授，負責該生畢業前之選課及論文撰寫之指導。

班級：□碩士班　　□碩士在職專班

□一般生於在學期間如要實習、全職工作與出國交換，事前需先徵得指導教授同意後方可進行，以免影響後續和指導教授討論時間與論文進度。

□學生應恪遵學術倫理之相關規範。

□其他(＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿＿)

學號：

學生簽章：

指導教授簽章：

中華民國　　年　　月　　日

NATIONAL YUNLIN UNIVERSITY OF SCIENCE AND TECHNOLOGY

Department of Finance / Graduate Institute of Finance

Advisor Consent Statement for Master's Dissertation

I hereby agree to accept the application of the Master's student listed below from the Department of Finance and serve as his/her dissertation advisor. I will be responsible for guiding the student's course selection and dissertation writing prior to his/her graduation.

Class / Program： ☐ Master's Program ☐ Executive Master's Program

☐ Regular students who intend to undertake internships, full-time employment, or study abroad during their enrollment must obtain prior consent from their thesis advisor before proceeding, in order to avoid affecting subsequent discussion time with the advisor and the progress of the thesis.
☐ Students shall strictly comply with relevant regulations regarding academic ethics.
☐ Other ( )

Student ID Number： _____

Student Signature： _____

Dissertation Advisor Signature： _____

Date： Year _____ / Month _____ / Day _____

國立雲林科技大學學生學分抵免申請

修讀研究所學分證明

茲證明學生_____曾就讀本校_____系(所)(請**填原就讀學校系所**)，在學期間修讀下列科目為本校研究所課程(不含大碩合開課程)，且未計入該生大學畢業學分數內。

修課年級	修習科目名稱	學分	成績	課程類別	原畢業系所之系務承辦人員(請逐欄簽章)	原畢業系所之系主任(所長)(請逐欄簽章)
				□碩士班課程 □博士班課程		
				□碩士班課程 □博士班課程		
				□碩士班課程 □博士班課程		
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				□碩士班課程 □博士班課程		
				□碩士班課程 □博士班課程		

該生在本校畢業總學分為_____學分，
原畢業系所畢業應修學分數不得少於_____學分。

證明單位：_____日期：___年___月___日
(原就讀學校教務處簽章)

NATIONAL YUNLIN UNIVERSITY OF SCIENCE AND TECHNOLOGY

Department of Finance / Graduate Institute of Finance

Credit Waiver Certificate of Graduate Course Credits Earned

This is to certify that student _____ previously studied in the _____ Department (Institute) of our university (please fill in the name of the former school and department/institute), and during the period of enrollment, took the following courses which were graduate-level courses of our university (excluding jointly offered undergraduate-graduate courses) and were not counted toward the student's undergraduate graduation credits.

Grade	Course Name	Credits	Grade	Course Category	Staff of the Former Institute (Signature for Each Column)	Chair (Director) of the Former Department/Institute (Signature for Each Column)
				□Master's Program Course □Ph.D. Program Course		
				□Master's Program Course □Ph.D. Program Course		
				□Master's Program Course □Ph.D. Program Course		
				□Master's Program Course □Ph.D. Program Course		
				□Master's Program Course □Ph.D. Program Course		

The total graduation credits earned by the student at our university is _____ credits,
and the minimum graduation credits required by the former department/institute shall not be less than _____ credits.

Issuing Unit: _____

Date: Year ___ Month ___ Day ___

(Signature and Seal of the Office of Academic Affairs of the Former School)

國立雲林科技大學財金所選修外系課程學分認定申請表

班級：_____ 學號：_____ 姓名：_____

_____學年度第_____學期　　申請日期：　　年　　月　　日

擬選修外系科目	開課系所/班級	學分	審查意見	
課號： 科目：			□核准為本系畢業學分 系所主管：	□不核准 系所主管：
課號： 科目：			□核准為本系畢業學分 系所主管：	□不核准 系所主管：
課號： 科目：			□核准為本系畢業學分 系所主管：	□不核准 系所主管：
課號： 科目：			□核准為本系畢業學分 系所主管：	□不核准 系所主管：
課號： 科目：			□核准為本系畢業學分 系所主管：	□不核准 系所主管：

申請人：　　（親簽）

備註：

1. 本申請表請於開學一週內加退選開始前送交系辦公室。
2. 財金所修業要點第六條第 3 項：經所長同意，本所碩士生於修業期間，可至其他所(系)選修與其論文相關之課程，最多以二門為限。
3. 自 101 學年度起，未經申請核准之外系學分數，無法承認為本所畢業學分。(100 學年度選修外系課程計入本所畢業學分，但自 101 學年度第 1 學期開始，則要先填寫申請表經所長核准後才能計入本所畢業學分。)

NATIONAL YUNLIN UNIVERSITY OF SCIENCE AND TECHNOLOGY

Department of Finance / Graduate Institute of Finance

Credit Recognition of Elective Courses from Other Departments

Class：_____ Student ID：_____ Name：_____

Academic Year: _____ Semester: _____

Application Date: Month _____ / Day _____ / Year _____

Courses to be taken in other departments	Offering Department/Class	academic credit	Examination Opinion	
Course Number： suject：			□Approved Department Head：	□Not approved Department Head：
Course Number： suject：			□Approved Department Head：	□Not approved Department Head：
Course Number： suject：			□Approved Department Head：	□Not approved Department Head：
Course Number： suject：			□Approved Department Head：	□Not approved Department Head：

applicant： (Signature)

Remark：

1. Please submit this application form to the department office within one week of the start of the semester, or before the start of the withdrawal process.
2. Article 6, Item 3 of the Key Points for Studying in Finance and Economics: With the approval of the Director, master's students of this institute may take courses related to their thesis in other institutes (departments) during their studies, with a maximum of two courses.
3. Starting from the 2012 academic year, credits earned outside the department without prior application and approval will not be recognized as graduation credits for this department. (In the 2011 academic year, courses taken outside the department were counted as graduation credits for this department, but starting from the first semester of the 2012 academic year, an application form must be completed and approved by the department director before they can be counted as graduation credits for this department.)